February 3, 2000

Dear Principal:

The National Opinion Research Center (NORC) at the University of Chicago is conducting a survey called the National Longitudinal Survey of Youth 1997 (NLSY97). The survey is intended to collect, on a nationwide basis, information pertaining to the employment, job training, education, and family experiences of young adults born from 1980 to 1984. Sponsored by the U.S. Department of Labor and supervised by the Bureau of Labor Statistics, the survey provides researchers with data relevant for examining linkages between family, education, and employment outcomes.

While we collect a great amount of information about the home environment for these youth, it also is vital that we learn about their school environment and academic success. For this reason, the U.S. Department of Labor is asking for your voluntary cooperation in providing information about the school experiences of these youth.

Specifically, we would appreciate your help in providing the following:
  - School transcripts for the student(s) listed on the enclosed permission forms
  - Answers to the enclosed one-page questionnaire that will provide additional information to help us interpret the transcript information we collect from schools across the nation
  - A copy of your course catalog or a listing of your course offerings

Enclosed is a copy of the signed permission form for each student for whom we are requesting information. NORC obtained signatures from the student and from the parents of all students under the age of 18 years. For students 18 years of age and older, we accepted the youth’s signature as adequate consent. NORC is sensitive to the need to maintain the confidentiality of all respondents in this survey. Identifying information is protected in accordance with the Privacy Act statement provided on the back of this form. In addition, we have enclosed a brochure to provide additional information about this important longitudinal research effort.

NORC estimates that it will take an average of 30 minutes per student to complete the enclosed questionnaire and prepare a copy of the transcript information.

Please fill out the enclosed reimbursement form, which allows you to be reimbursed in the amount of $10.00 per student for any costs associated with reproducing transcripts. Also enclosed is a postage-paid business reply envelope for returning the student list, transcript(s), completed questionnaire, and course listings to NORC.

We look forward to receiving your completed documents within the next two weeks. Should you have any questions or comments, please call our project information line at 1-877-400-0449. You may call at any time; if we are not able to assist you at the time of your call, we will return your call within one business day. You may also write to the Bureau of Labor Statistics, National Longitudinal Survey, 2 Massachusetts Avenue N.E., Washington, DC 20212. The OMB clearance number for this survey is 1220-0157. Without this current OMB number, BLS could not conduct this survey.

We appreciate your support and thank you in advance for your cooperation.

Sincerely,

Michael Horrigan        A. Rupa Datta
Program Director, BLS    Project Director, NORC
Please answer the following questions about the transcripts being provided. Your answers will help us analyze the transcripts.

1. Please specify below your school’s grade scale (the percentage equivalent of each letter grade). (If your school uses different grade scales for different difficulty levels of courses or tracks (honors, general, special education, etc.), please specify the scale associated with each. If your school has only one scale, record the information in the first column.)

<table>
<thead>
<tr>
<th>LEVEL</th>
<th>A = ___% to ___%</th>
<th>B = ___% to ___%</th>
<th>C = ___% to ___%</th>
<th>D = ___% to ___%</th>
<th>F = ___% to ___%</th>
</tr>
</thead>
<tbody>
<tr>
<td>LEVEL</td>
<td>A = ___% to ___%</td>
<td>B = ___% to ___%</td>
<td>C = ___% to ___%</td>
<td>D = ___% to ___%</td>
<td>F = ___% to ___%</td>
</tr>
<tr>
<td>LEVEL</td>
<td>A = ___% to ___%</td>
<td>B = ___% to ___%</td>
<td>C = ___% to ___%</td>
<td>D = ___% to ___%</td>
<td>F = ___% to ___%</td>
</tr>
</tbody>
</table>

2. Is any of the information below unavailable at your school, and consequently not included on the transcripts enclosed? Check off each variable that is unavailable. (Please retrieve any information that is available at your school from other sources, such as guidance records, and write the information on each student’s transcript.)

   - student-level information
     - number of absences per year or term
     - rank in class and class size
     - date student left school
     - reason student left school (graduated, transferred, etc.)
     - GPA
     - standardized test scores for the:
       - PSAT
       - ACT
       - SAT
       - AP

   - course-taking histories for grades 9 (or 10) through 12, including:
     - course title
     - grade level, year, and term course taken
     - number of credits earned
     - grade assigned

3. Please feel free to record below any information about the transcripts enclosed that you believe would be helpful in processing them.

   ____________________________________________________________
   ____________________________________________________________
   ____________________________________________________________

Thank you for your help. We appreciate your cooperation.
Appendix 11: Collection of the Transcript Data

Figure 3. Student Request list identifying sample students

2/03/00 STUDENT TRANSCRIPT REQUEST LIST
National Longitudinal Survey of Youth 1997 - Transcript Survey Supplement

School Name: EXAMPLE HIGH SCHOOL
School ID#: XXXXXXXX
State: ST

INSTRUCTIONS: Please return transcripts for each student listed below. Please indicate whether he/she has participated in a special education, bilingual education, or gifted course or program by circling yes or no. If you are unable to provide a transcript for a student, please indicate the reason (such as "never attended", "transferred") in the "COMMENTS" column to the right of the student's name. If a student transferred to or from another school, please return any transcripts that you have, and write in the name, city and state of the transfer school in the "COMMENTS" column.

PLEASE ENCLOSE THIS DOCUMENT WHEN RETURNING TRANSCRIPTS.

<table>
<thead>
<tr>
<th>STUDENT INFORMATION</th>
<th>Has the student participated in any courses or programs in the following areas? (PLEASE CIRCLE ANSWER.)</th>
<th>TRANSCRIPT INFORMATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please supply transcripts and answer questions for each student listed below:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ID#: XXXXXXXX1</td>
<td>Student Name: LAST NAME III, FIRST NAME</td>
<td>Yes</td>
</tr>
<tr>
<td>Birth Date: XX/XX/19XX</td>
<td>Attendance Last Verified: XX/19XX</td>
<td></td>
</tr>
<tr>
<td>ID#: XXXXXXXX2</td>
<td>Student Name: LAST NAME III, FIRST NAME</td>
<td>Yes</td>
</tr>
<tr>
<td>Birth Date: XX/XX/19XX</td>
<td>Attendance Last Verified: XX/19XX</td>
<td></td>
</tr>
</tbody>
</table>
Figure 4. Student permission form

__ | __ | __ | __ | __ | __ | __ | __

Youth ID

NORC 4893
OMB No: 1220-0157
Expiration Date: 8/31/2001

Student’s Name ________________________________
(Please print)

NLSY97 ROUND 4
PERMISSION TO CONTACT SCHOOL

On behalf of the U.S. Department of Labor, the National Opinion Research Center (NORC) requests permission to obtain information from school transcripts and related school records for the student named above. NORC also requests permission to contact the school regarding the general characteristics of the school as well as some specific characteristics of some classes that the student attends/attended.

Parent
NORC has permission to obtain school transcript, related school records, and school/class characteristic information pertaining to my child from my child’s school. Identifying information is protected in accordance with the Privacy Act statement provided on the back of this form.

Parent’s Signature: ________________________________ Date: ____________________

Student
NORC has permission to obtain school transcript, related school records, and school/class characteristic information pertaining to me from my school. Identifying information is protected in accordance with the Privacy Act statement provided on the back of this form.

Student’s Signature: ________________________________ Date: ____________________

WHITE COPY - MAIL TO CO WITH CASE MATERIALS / YELLOW COPY - MAIL TO FM / PINK COPY - KEEP FOR YOUR RECORDS
Appendix 11: Collection of the Transcript Data

Figure 4 continued. Student permission form (reverse side)

<table>
<thead>
<tr>
<th>PRIVACY ACT STATEMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td>In accordance with the Privacy Act of 1974, as amended (5 U.S.C. 552a), you are hereby notified that this survey is sponsored by the U.S. Department of Labor, Bureau of Labor Statistics, under authority of 29 U.S.C. 2. Your participation is vital to the success of the survey and is voluntary. The information collected in this survey will be used by BLS and other researchers to understand the labor market experience of individuals over time. BLS makes available to the general public and other federal agencies conducting labor force research information that are not individually identifiable. Under written agreements to protect the confidentiality and security of identifying information, BLS provides potentially-identifying geographic information to researchers to conduct specific research projects that further the mission and function of BLS. Unless required by law, or necessary for litigation or legal proceedings, and except as provided in the Privacy Act or as indicated in this statement, we will hold personal identifiers (e.g., name, address, or social security number) in confidence and will not release them to the public. BLS may release identifying data to the National Opinion Research Center (NORC) and the Ohio State University, and contractors, grantees, and their employees or volunteers who are working on this project for BLS and who need access to the material, or to the National Archives and Records Administration or the General Services Administration for records management purposes.</td>
</tr>
</tbody>
</table>